

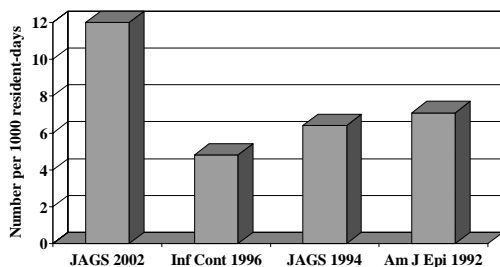
Outcomes of Infection in Nursing Home Residents with and without Early Hospital Transfer

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Overview

- Epidemiology and cost of NH-acquired infection
- Hospital use in NH-acquired infection
- Association between hospital triage and outcomes

Infection episodes in NH residents



Types of NH-acquired infection

| | |
|-------------------|--------|
| Urinary tract | 18-26% |
| Skin | 18-25% |
| Pneumonia | 11-36% |
| Upper respiratory | 10-12% |
| Gastrointestinal | 1-4% |
| Bloodstream | 1-2% |

Short-term infection outcomes

| | Mortality | Hospital admission | Function decline |
|--|-----------|--------------------|------------------|
| Pneumonia (Med Care 2004) | 15% | 14% | 29% |
| Pneum + Dementia (JAGS 2002) | 41% | | |
| All infection types (Am J Epi 1992) | 10% | | |

Infection care: incremental NH cost

| Type | Mean (\$) | Median (Range width) |
|-------------------|-----------|----------------------|
| Pneumonia | 575 | 453 (1951) |
| Upper respiratory | 229 | 43 (6613) |
| Urinary tract | 186 | 89 (825) |
| Skin | 131 | 129 (309) |
| Gastrointestinal | 123 | 42 (882) |

-Alessi et al., JAMDA 2003

Infection resource needs

- Provider evaluation
- Basic diagnostic testing (urine, blood)
- Basic radiology (x-ray)
- Antibiotics
- Intravenous therapy
- Oxygen
- Skilled nursing

NH-acquired pneumonia: total episode cost

| With initial NH triage | With initial hospital triage |
|------------------------|------------------------------|
| \$3789 | \$10408 |

-Kruse et al., Med Care 2004

NH-acquired pneumonia: national cost

| N (annual) | NH cost increment | Total cost (NH triage) | Total cost (hospital triage) |
|---------------|----------------------|---------------------------|---------------------------------|
| 876,000 | \$400 million | \$2.8 billion | \$1.4 billion |

Summary

- Infection is frequent in NH residents
- High morbidity and mortality
- High resource use and cost
- Study limitations:
 - small number of facilities and residents
 - study-specific definitions of infection

Is there hospital overuse in NH-
acquired infection?

Judging appropriateness

- Advance directives
- Baseline health status (function, prognosis)
- Infection severity
- NH services available
- Response to NH treatment
- NH quality of care

-Saliba et al., JAGS 2000

Appropriateness of hospital triage

| | Appropriate? (%) | | | Kappa |
|-----------------------|------------------|----|----------|-------|
| | Yes | No | Disagree | |
| ED transfer (n=88) | 41 | 44 | 15 | .70 |
| Hosp admit (n=82) | 44 | 45 | 11 | .78 |

-Saliba et al., JAGS 2000

Reasons judged inappropriate

- Patient with poor baseline health
- Event did not mandate hospital transfer
- Event did not require immediate evaluation
- Event not life or function-threatening
- Reasonable NH interventions not tried first

-Saliba et al., JAGS 2000

Potentially preventable hospitalizations: Ambulatory care sensitive (ACS) conditions

- “Conditions for which hospitalization is preventable if appropriately managed on an ambulatory basis”
- Include: angina, asthma, cellulitis, COPD, CHF, dehydration, diabetes, gastroenteritis, hypertension, urinary tract infection, pneumonia, upper respiratory infection

ACS conditions in NH residents

| Cohort: 54631 residents in 663 facilities in KS, ME, NY, SD; follow-up = 180 days after NH admission | | | |
|--|----------------------|--------------------|--------------------------------------|
| Hospitalized with ACS | Hospitalized non-ACS | Died (no hospital) | Hospitalized wACS/total hospitalized |
| 3137 (5.7%) | 5313 (9.7%) | 2808 (5.1%) | 37.1% |

-Inrator et al., JAGS 2004

Factors associated with greater ACS admissions

| | AOR | 95% CI |
|-----------------------------|------|---------|
| On-staff physician | 1.14 | .99-1.3 |
| Nurse staffing/30 residents | 1.13 | 1.0-1.2 |
| CNA staffing/total nurses | 1.18 | 1.1-1.3 |
| For profit facility | 1.24 | 1.1-1.4 |

-Intrator et al., JAGS 2004

Factors associated with fewer ACS admissions

| | AOR | 95% CI |
|----------------------------------|-----|---------|
| Any physician extender | .83 | .70-.97 |
| On-site IV therapy | .89 | .78-1.0 |
| CNA training program | .78 | .68-.90 |
| Percentage of residents with DNR | .97 | .95-.99 |
| Facility bed number < 100 | .85 | .71-1.0 |
| Percent self-pay > 35% | .77 | .63-.95 |

-Intrator et al., JAGS 2004

Summary

- There is probably hospital overuse in NH-acquired infection
- Economics favors hospital transfer
- Factors associated with hospital transfer are potentially modifiable

Would reducing hospital transfer improve outcomes of care?

Hospitalization

| <u>Benefits</u> | <u>Harms</u> |
|--------------------|-------------------------|
| Better access to: | Iatrogenic: |
| Providers | Delirium |
| Specialists | Falls |
| Diagnostic testing | Pressure sores |
| Procedures | Adverse drug events |
| Treatment options | Procedure complications |
| Close monitoring | Mental health problems |
| | Fragmentation of care |

Positive outcomes of NH-acquired infection

- Disease cure
- Survival
- Maintenance of physical function
- Harm avoidance: falls, pressure sores, delirium, drug or procedure adverse events

Hospital transfer and mortality from NH-acquired pneumonia

| Author, Year | Design | N (NHs/ episodes) | Unadj RR | P-value |
|---------------|------------|-------------------|------------|-------------|
| Degelau, 1995 | Retrospect | 31 / 198 | 1.4 | .37 |
| Fried, 1995 | Retrospect | 1 / 316 | .92 | .85 |
| Mehr, 1998 | Prospect | 10 / 141 | 3.2 | <.05 |
| Mylotte, 1998 | Retrospect | 2 / 158 | .87 | .66 |
| Loeb, 1999 | Prospect | 5 / 272 | 3.0 | .02 |
| Total | | 49 / 1085 | 1.6 | .002 |

Hospital transfer and function in NH-acquired pneumonia

| Author, Year | Design | N (NHs/ episodes) | RR or *AOR | P-value |
|--------------|-------------------|-------------------|------------|---------|
| Fried, 1997 | Retrospect cohort | 1 / 240 | 1.46 | .12 |
| Mehr, 2003 | Prospect cohort | 36 / 781 | 1.90* | <.05 |

*Adjusted for age, number of ADL impairments, and presence/absence of memory impairment, dyspnea, pressure sore, and feeding tube

Summary

- Benefit/harm calculation in the balance for hospital triage
- Studies demonstrate higher short-term mortality for hospital triage

Study limitations

- All studies observational
- All x-ray-confirmed pneumonia
- Small sample sizes
- Outcomes not adjusted for differences between hospital and NH-triage groups
- Little data on outcomes other than survival

Objective and hypothesis

- To compare outcomes of infection in nursing home residents with and without hospital transfer
 - Outcomes of infection are worse with hospital transfer

Research question

- What is the relative risk of 30-day mortality and pressure sores in NH residents with infection transferred to the hospital compared with those who are not – controlling for differences in infection, individual, and facility characteristics?

Maryland Long-Term Care Project (PI: Magaziner)

- Prospective observational study examining association between dementia and outcomes
- Individuals admitted to 59 NHs (of 221) in Maryland between 1992-1995
- Included those older than 65 that had not resided in a NH in the previous year
- Followed for 2 years or to NH discharge

Sample size

- 3283 admissions eligible
- 2285 (70%) consented
- 2153 with follow-up data

Baseline measures

- Age, sex, race, education
- Function in bathing, dressing, toileting, transferring, feeding, and continence
- Chronic medical conditions (14)
- Dementia by expert panel
- Body mass index
- Pressure sore

Facility measures

- Number of beds
- For profit (yes/no)
- Urban location (yes/no)
- Distance to hospital
- Nurse staffing/100 beds
- Available medical services: intravenous therapy, respiratory therapy, tracheostomy care, tube feeding (0-4)

Incident events

- Infection
- Hospitalization
- Death
- Pressure sores

Infection definition

- Documentation of infectious diagnosis in any part of medical record OR
- Receipt of antibiotics except if for infection prophylaxis

Strengths and weaknesses of data

- Large sample
- Prospective data
- Individual and facility variables
- Multiple infection diagnoses
- Includes non-mortal outcomes
- Single-state
- Observational
- Secondary analysis

Infection characteristics

- Anatomical location
- Fever (yes/no), and highest level (1 degree categories)
- Physician visit in NH within 3 days of onset

Comparison groups

- Hospital triage group = transferred to the hospital within 3 days of infection onset
- Nursing home triage group = no transfer
- Not included = died within 3 days of infection onset

Outcomes

- Death
- Pressure sore stage 2 or greater
- Outcome interval: Days 4 – 34 after infection onset (30 days)

Analysis plan

- 2 x 2 tables for unadjusted relative risk
- Logistic regression models to adjust for infection, individual, and facility covariates
- Generalized estimating equations to calculate robust confidence intervals

Results: residents with infection

| | |
|----------------------|--------------|
| N | 1301 (60.4%) |
| Age (mean) | 82 years |
| Female (%) | 72 |
| White (%) | 79 |
| Impaired ADLs (mean) | 4.1 |
| Dementia | 55 |

Results: Infections and location

| | |
|-------------------|------------|
| N | 4990 |
| Urinary tract | 1397 (28%) |
| Skin | 948 (19) |
| Upper respiratory | 649 (13) |
| Lower respiratory | 599 (12) |
| Gastrointestinal | 200 (4) |
| Bloodstream | 100 (2) |
| Undetermined* | 1049 (21) |

*Antibiotics for ambiguous diagnosis or lack of medical record documentation

Facility characteristics

| | |
|--|------------|
| N | 59 |
| Beds (mean (s.d.)) | 146 (77) |
| For profit (%) | 59 |
| Urban location (%) | 90 |
| < 5 miles from hospital (%) | 70 |
| Nurses/100 beds (mean (s.d.)) | 33.4 (6.7) |
| Medical services available (mean (s.d.)) | 2.9 (1.2) |

-Boockvar et al., JAGS 2005

Hospital triage and overall outcomes

| | |
|-----------------------------|-------------------|
| N (survived Day 3/total) | 4903/4990 (98.3%) |
| Hospital transfer (Day 0-3) | 375 (7.6%) |
| Mortality (Day 4-34) | 364 (7.4%) |
| Pressure sore (Day 4-34) | 420 (8.6%) |

-Boockvar et al., JAGS 2005

Outcomes by triage group

| | Hospital triage | NH triage | RR (95% CI) |
|---------------|-----------------|------------|---------------|
| Mortality | 56 (14.9%) | 308 (6.8%) | 2.2 (1.7-2.9) |
| Pressure sore | 56 (14.9%) | 364 (8.0%) | 1.9 (1.4-2.4) |

-Boockvar et al., JAGS 2005

Adjusted outcomes

| RR (95% CI) adjusted for: | Mortality | Pressure sore |
|--|---------------|---------------|
| Unadjusted | 2.2 (1.7-2.9) | 1.9 (1.4-2.4) |
| Infection type + fever | 1.8 (1.3-2.5) | 1.7 (1.2-2.3) |
| Infection + individual characteristics | 1.6 (1.2-2.3) | 1.8 (1.4-2.4) |
| Inf + indiv + facility characteristics | 1.6 (1.1-2.3) | 1.8 (1.3-2.6) |

Other outcome predictors

Mortality

- Fever grade (+)
- GI infection (+)
- Skin infection (-)
- Comorbidity (+)
- ADL impairment (+)
- Dementia (-)
- Number of beds (+)

Pressure sore

- GI infection (+)
- ADL impairment (+)
- Pressure sore on NH admission (+)

Propensity analysis

- Estimated logistic regression model
 - Dependent variable = triage group (hospital or NH)
 - Independent variables = infection, individual, facility
- Propensity score from model coefficients
- Examined association between hospital triage and outcomes, controlling for propensity score
 - As continuous variable
 - Stratified by quintiles

| Variables with major difference | Hospital triage | NH triage | Stand Diff |
|-----------------------------------|-----------------|-----------|------------|
| Upper respiratory (%) | 7 | 13 | -20% |
| Skin (%) | 13 | 20 | -17 |
| <i>Pneumonia (%)</i> | 28 | 11 | 46 |
| <i>Bloodstream (%)</i> | 4 | 2 | 14 |
| <i>Fever (# degrees > 100)</i> | .61 | .24 | 37 |
| White (%) | 72 | 80 | -20 |
| <i>Education (years)</i> | 9.4 | 10 | -25 |
| <i>Comorbidity (count)</i> | 2.9 | 2.6 | 14 |
| <i>Dementia (%)</i> | 52 | 57 | -10 |
| Hospitalized past yr (%) | 87 | 82 | 13 |
| Facility beds (count) | 142 | 153 | -18 |
| <i>For profit facility (%)</i> | 73 | 62 | 22 |
| <i>Urban facility (%)</i> | 99 | 96 | 15 |
| <i>Medical services (count)</i> | 3.0 | 3.1 | -8 |

Italics = p < .05 for association with hospital triage in multivariable logistic regression.

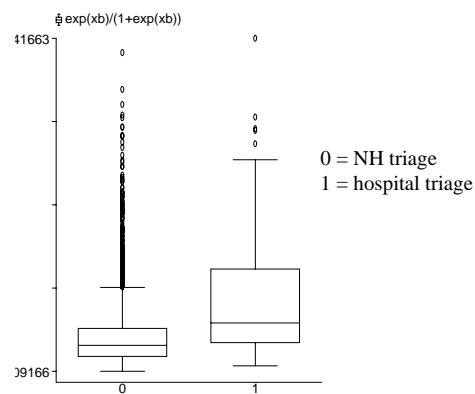
Propensity score by triage group

Model c-statistic = .719

| Triage group | N | Missing N (%) | Mean (s.d.) | Median (range) | Variance |
|--------------|------|---------------|-------------|-----------------|----------|
| NH | 4528 | 1277 (28) | .077 (.065) | .058 (.009-.61) | .0042 |
| Hospital | 375 | 85 (23) | .14 (.10) | .10 (.02-.64) | .01 |

Difference in mean=.066 Variance ratio=.39

Logit propensity score by triage group



Adjusted outcomes

| RR (95% CI) adjusted for: | Mortality | Pressure sore |
|---------------------------|---------------|---------------|
| Unadjusted | 2.2 (1.7-2.9) | 1.9 (1.4-2.4) |
| Infection type + fever | 1.8 (1.3-2.5) | 1.7 (1.2-2.3) |
| Infection + individual | 1.6 (1.2-2.3) | 1.8 (1.4-2.4) |
| Inf + indiv + facility | 1.6 (1.1-2.3) | 1.8 (1.3-2.6) |
| Propensity score | 1.4 (1.0-2.0) | 1.6 (1.2-2.2) |

-Boockvar et al., JAGS 2005

| Standard diffs | All | 1 | 2 | 3 | 4 | 5 |
|---------------------|-----------|---------|---------|---------|---------|----------|
| N (hosp/NH) | 375/ 4528 | 14/ 674 | 29/ 681 | 42/ 659 | 64/ 650 | 141/ 587 |
| Upper respiratory | -20% | 19 | -43 | 7 | 0 | 6 |
| Skin | -17 | -63 | 8 | 5 | 11 | -9 |
| Pneumonia | 46 | ** | ** | 18 | -21 | 28 |
| Bloodstream | 14 | ** | ** | -11 | 9 | 3 |
| Fever | 37 | -22 | 1 | 7 | 5 | 18 |
| White | -20 | 30 | 10 | 17 | -7 | -4 |
| Education | -25 | -22 | -19 | 19 | -11 | 3 |
| Comorbidity | 14 | 14 | 9 | -12 | 7 | -6 |
| Dementia | -10 | -26 | 34 | -13 | 4 | 0 |
| Hospital past yr | 13 | 72 | -11 | -4 | 0 | -5 |
| Facility beds | -18 | 1 | 11 | -6 | -1 | 0 |
| For profit facility | 22 | 16 | 12 | 0 | 10 | 3 |
| Urban facility | 15 | -22 | -16 | 16 | 16 | 5 |
| Medical services | -8 | -51 | -13 | -21 | 2 | 12 |

Outcomes by propensity quintile

| RR (95% CI): | Mortality | Pressure sore |
|--------------|---------------|---------------|
| Quintile 1 | 2.4 (.72-8.3) | 1.1 (.27-4.5) |
| 2 | 1.5 (.40-5.8) | 2.0 (.79-5.2) |
| 3 | 2.8 (1.4-5.5) | .97 (.34-2.8) |
| 4 | 1.5 (.75-2.9) | 1.6 (.80-3.0) |
| 5 | 1.3 (.81-2.0) | 1.8 (1.1-3.2) |

-Boockvar et al., JAGS 2005

Summary

- Hospital triage is associated with higher rates of mortality and pressure sores in 30 days of follow-up, unadjusted and adjusted for differences between hospital and NH triage groups
- Propensity analysis suggests an association that is similar in direction and magnitude

Strengths and limitations

- Large sample/ 59 NHs
- Prospective data
- >1 infection type
- Non-mortal outcome
- Infection, individual and facility variable adjustment
- Practical perspective
- Large measured differences between comparison groups
- ? unmeasured differences
- Propensity score adjustment imperfect
- 3-Day survivor sample

Propensity score-specific questions

- Missing data
- ? Adequate model discriminatory power (c-statistic = .72)
- ? Adequate score overlap between comparison groups
- ? Adequate correction of standardized differences in key variables

Another perspective

- Kruse et al., Medical Care 2004
- 1406 episodes of lower respiratory infection in 36 NHs in Missouri between 1995-98
- Patient, provider, facility data
- Hospitalized within 24 hours vs. not
- Outcome = 30-day mortality
- Stratified by quintiles of propensity score and mortality risk

Outcomes by propensity quintile

| RR (95% CI): | Mortality |
|--------------|----------------|
| Quintile 1&2 | 0* |
| 3 | 1.2 |
| 4 | 1.4 |
| 5 | .92 |
| All | .89 (.52-1.52) |

* No deaths in the initial hospitalization group

Implications

- On average NH is as good as or better than hospital for residents with infection
 - Need to develop tools to help NH providers make appropriate triage decisions (e.g., validated prognostic indices)

Implications

- Factors that predispose to hospital transfer are modifiable
 - Increase acute care resources in NHs (e.g., nurse practitioner)
 - Align financial incentives (e.g., capitation with care management: Evercare, PACE)

Implications

- Patients with poor prognosis or their families may choose to decline hospital transfer if given the choice
 - Complete advance directives

Acknowledgments

| | |
|--------------------|--|
| Ann Gruber-Baldini | National Institute on Aging |
| Jay Magaziner | Foundation for Health in Aging |
| Sheryl Zimmerman | Pfizer |
| Lynda Burton | |
| Conrad May | |
| Richard Hebel | Facilities and patients in Maryland Long-Term Care project |
| Albert Siu | |